


Please type a plus sign (+) inside this box 

PTO/SB/05 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))

Attorney Docket No. 9891-00009/US

First Inventor WOOD, Thomas J.

Title Nasal Ventilation Interface and System

Express Mail Label No.

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Commissioner for Patents
Box Patent Application
P.O. Box 1450
Alexandria, VA 22313-1450

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 19]
(preferred arrangement set forth below)
 - Descriptive title of the Invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 3]
5. Oath or Declaration [Total Pages 22]
 - a. ☐ Newly executed (original or copy)
 - b. ☐ Copy from a prior application (37 CFR 1.63 (d))
(for a continuation/divisional with Box 18 completed)
 - i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. ☐ Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ paper
 - c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATIONS PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 C.F.R. §3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☐ Other: _____

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No: _____ / _____

Prior application information: Examiner _____

Group / Art Unit: _____

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label

39,878

or ☐ Correspondence address below

(Insert Customer No. or Attach bar code label here)

Name	Min, Hsieh, & Hack LLP c/o Portfolio/IP				
Address	P.O. Box 52050				
City	Minneapolis	State	MN	Zip Code	55402
Country	United States of America	Telephone	202-822-4554	Fax	703-668-8200

Name (Print/Type)	Timothy J. Maier	Registration No. (Attorney/Agent)	51,986
Signature		Date	April 23, 2004

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The PTO did not receive the following listed item(s) 22 pages of oath.

16698 U.S. PTO
042304

FEE TRANSMITTAL for FY 2004		Complete if Known	
		Application Number	NEW APPLICATION
Patent fees are subject to annual revision.		Filing Date	April 23, 2004
		Inventor(s)	Thomas J. WOOD
		Examiner Name	
		Group / Art Unit	
TOTAL AMOUNT OF PAYMENT	(\$) 502	Attorney Docket No.	9891-00009/US

METHOD OF PAYMENT (check one)		FEE CALCULATION (continued)				
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:		3. ADDITIONAL FEES				
Deposit Account Number	50-2961	Fee Code	Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid
Deposit Account Name	Min, Hsieh, & Hack LLP	105	130	205	65	Surcharge - late filing fee or oath
<input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17		127	50	227	25	Surcharge - late provisional filing fee or cover sheet.
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		139	130	139	130	Non-English specification
2. <input checked="" type="checkbox"/> Payment Enclosed:		147	2,520	147	2,520	For filing a request for reexamination
<input checked="" type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other		112	920*	112	920*	Requesting publication of SIR prior to Examiner action
FEE CALCULATION		113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action
1. BASIC FILING FEE		115	110	215	55	Extension for reply within first month
Large Entity Fee (\$)	Small Entity Fee (\$)	116	410	216	205	Extension for reply within second month
101 750 201 375	Utility filing fee	117	930	217	465	Extension for reply within third month
106 330 206 165	Design filing fee	118	1,450	218	725	Extension for reply within fourth month
107 520 207 260	Plant filing fee	128	1,970	228	985	Extension for reply within fifth month
108 750 208 375	Reissue filing fee	119	320	219	160	Notice of Appeal
114 160 214 80	Provisional filing fee	120	320	220	160	Filing a brief in support of an appeal
SUBTOTAL (1)		121	280	221	140	Request for oral hearing
	(\$) 385	138	1,510	138	1,510	Petition to institute a public use proceeding
2. EXTRA CLAIM FEES		140	110	240	55	Petition to revive - unavoidable
Total Claims	33	141	1,300	241	650	Petition to revive - unintentional
Independent Claims	3	142	1300	242	650	Utility issue fee (or reissue)
Multiple Dependent		143	470	243	235	Design issue fee
		144	630	244	315	Plant issue fee
		122	130	122	130	Petitions to the Commissioner
		123	50	123	50	Processing fee under 37 CFR 1.17 (q)
		126	180	126	180	Submission of Information Disclosure Stmt
		581	40	581	40	Recording each patent assignment per property (times number of properties)
		146	750	246	375	Filing a submission after final rejection (37 CFR § 1.129(a))
		149	750	249	375	For each additional invention to be examined (37 CFR § 1.129(b))
		179	750	279	375	Request for Continued Examination (RCE)
		169	900	169	900	Request for expedited examination of a design application
SUBTOTAL (2)		Other fee (specify) _____				
	(\$) 502	*Reduced by Basic Filing Fee Paid				
**or number previously paid, if greater; For Reissues, see above		SUBTOTAL (3)				
		(\$) 0				

SUBMITTED BY		Complete (if applicable)			
Name (Print/Type)	Timothy J. Maier	Registration No. Attorney/Agent	51,986	Telephone	202-822-4554
Signature		Date	April 23, 2004		

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